

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.56, §1.97, and §1.98

PTO-1449 FORM

SHEET 1 OF 4

ATTORNEY DOCKET NO.:

R0368-03100

SERIAL NO.:

10/718,222

APPLICANTS:

Burbank et al.

EXAMINER:

Not yet assigned

FILING DATE:

November 20, 2003

GROUP ART UNIT:

3763

U.S. PATENT DOCUMENTS

| *EX'R INITIAL | *REF. # | PATENT NUMBER | DATE (MO/YR) | NAME | U.S. CLASS/ SUBCLASS | FILING DATE (If appropriate) |
|---------------|---------|---------------|--------------|--------------------|----------------------|------------------------------|
| | A1 | 3,209,753 | 10/65 | Hawkins et al. | | |
| | A2 | 3,411,505 | 11/68 | Nobis | | |
| | A3 | 4,292,960 | 10/81 | Paglione | | |
| | A4 | 4,428,374 | 1/84 | Auburn | | |
| | A5 | 4,428,379 | 1/84 | Robbins et al. | | |
| | A6 | 4,509,528 | 4/85 | Sahota | | |
| | A7 | 4,650,466 | 1/87 | Luther | | |
| | A8 | 4,757,823 | 7/88 | Hofmeister et al. | | |
| | A9 | 4,991,588 | 2/91 | Pflueger et al. | | |
| | A10 | 4,994,069 | 2/91 | Ritchart et al. | | |
| | A11 | 5,037,433 | 8/91 | Wilk et al. | | |
| | A12 | 5,081,997 | 1/92 | Bosley, Jr. et al. | | |
| | A13 | 5,108,408 | 4/92 | Lally | | |
| | A14 | 5,201,314 | 4/93 | Bosley et al. | | |
| | A15 | 5,226,911 | 7/93 | Chee et al. | | |
| | A16 | 5,261,409 | 11/93 | Dardel | | |
| | A17 | 5,275,166 | 1/94 | Vaitenkunas et al. | | |
| | A18 | 5,289,831 | 3/94 | Bosley | | |
| | A19 | 5,336,229 | 8/94 | Noda, Wayne A. | | |
| | A20 | 5,336,231 | 8/94 | Adair | | |
| | A21 | 5,383,922 | 1/95 | Zipes et al. | | |
| | A22 | 5,456,693 | 10/95 | Conston et al. | | |
| | A23 | 5,458,596 | 10/95 | Lax et al. | | |
| | A24 | 5,488,958 | 2/96 | Topel et al. | | |
| | A25 | 5,496,331 | 3/96 | Xu et al. | | |
| | A26 | 5,507,744 | 4/96 | Tay et al. | | |
| | A27 | 5,542,944 | 8/96 | Bhatta | | |
| | A28 | 5,549,624 | 8/96 | Mirigian et al. | | |
| | A29 | 5,549,824 | 7/96 | Trumpf et al. | | |
| | A30 | 5,556,396 | 9/96 | Cohen et al. | | |
| | A31 | 5,570,692 | 11/96 | Morinaga | | |
| | A32 | 5,588,960 | 12/96 | Edwards et al. | | |
| | A33 | 5,614,204 | 1/97 | Cochrum | | |
| | A34 | 5,658,299 | 8/97 | Hart | | |
| | A35 | 5,662,676 | 9/97 | Konincckx | | |
| | A36 | 5,662,680 | 9/97 | Desai | | |
| | A37 | 5,665,096 | 9/97 | Yoon | | |
| | A38 | 5,672,153 | 9/97 | Lax et al. | | |
| | A39 | 5,672,172 | 9/97 | Zupkas | | |
| | A40 | 5,691,314 | 11/97 | Hodgen | | |
| | A41 | 5,697,942 | 12/97 | Palti | | |
| | A42 | 5,702,407 | 12/97 | Kaji | | |

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SHEET 2 OF 4

ATTORNEY DOCKET NO.:

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U.S. PATENT DOCUMENTS CONT'D

| * EX'R INITIAL | *REF. # | PATENT NUMBER | DATE (MO/YR) | NAME | U.S. CLASS/ SUBCLASS | FILING DATE (If appropriate) |
|----------------|---------|---------------|--------------|-----------------------|----------------------|------------------------------|
| | A43 | 5,713,896 | 2/98 | Nardella | | |
| | A45 | 5,713,942 | 2/98 | Stern et al. | | |
| | A46 | 5,715,832 | 2/98 | Koblish et al. | | |
| | A47 | 5,716,389 | 2/98 | Walinsky et al. | | |
| | A48 | 5,720,743 | 2/98 | Bischof et al. | | |
| | A49 | 5,749,879 | 5/98 | Middleman et al. | | |
| | A50 | 5,759,154 | 6/98 | Hoyns | | |
| | A51 | 5,766,135 | 6/98 | Terwilliger | | |
| | A52 | 5,776,129 | 7/98 | Mersch | | |
| | A53 | 5,797,397 | 8/98 | Rosenberg | | |
| | A54 | 5,800,378 | 9/98 | Edwards et al. | | |
| | A55 | 5,817,022 | 10/98 | Vesely | | |
| | A56 | 5,836,906 | 11/98 | Edwards | | |
| | A57 | 5,840,033 | 11/98 | Takeuchi | | |
| | A58 | 5,895,386 | 4/99 | Odell et al. | | |
| | A59 | 5,895,395 | 4/99 | Yeung | | |
| | A60 | 5,899,861 | 5/99 | Friemel et al. | | |
| | A61 | 5,904,651 | 5/99 | Swanson et al. | | |
| | A62 | 5,910,484 | 6/99 | Haupert, Jr. | | |
| | A63 | 5,911,691 | 6/99 | Mochizuki et al. | | |
| | A64 | 5,921,933 | 7/99 | Sarkis et al. | | |
| | A65 | 5,941,889 | 8/99 | Cermak | | |
| | A66 | 5,979,453 | 11/99 | Savage et al. | | |
| | A67 | 6,013,088 | 1/00 | Karavidas | | |
| | A68 | 6,015,541 | 1/00 | Greff et al. | | |
| | A69 | 6,019,724 | 2/00 | Gronningsaeter et al. | | |
| | A70 | 6,032,673 | 3/00 | Savage et al. | | |
| | A71 | 6,033,398 | 3/00 | Farley et al. | | |
| | A72 | 6,034,477 | 3/00 | Peeters et al. | | |
| | A73 | 6,035,238 | 3/00 | Ingle et al. | | |
| | A74 | 6,039,693 | 3/00 | Seward et al. | | |
| | A75 | 6,045,508 | 4/00 | Hossack et al. | | |
| | A76 | 6,066,139 | 5/00 | Ryan et al. | | |
| | A77 | 6,077,257 | 6/00 | Edwards et al. | | |
| | A78 | 6,096,051 | 8/00 | Kortenbach et al. | | |
| | A79 | 6,106,473 | 8/00 | Violante et al. | | |
| | A80 | 6,186,947 | 2/01 | Ouchi | | |
| | A81 | 6,210,330 | 4/01 | Tepper | | |
| | A82 | 6,231,515 | 5/01 | Moore et al. | | |
| | A83 | 6,254,601 | 7/01 | Burbank et al. | | |
| | A84 | 6,261,234 | 7/01 | Lin | | |

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| IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, and §1.98 | | ATTORNEY DOCKET NO.: R0368-03100 | SERIAL NO.: 10/718,222 |
| PTO-1449 FORM SHEET 3 OF 4 | | APPLICANTS: Burbank et al. | EXAMINER: Not yet assigned |
| | | FILING DATE: November 20, 2003 | GROUP ART UNIT: 3763 |

U.S. PATENT DOCUMENTS CONT'D

| † EX'R INITIAL | *REF. # | PATENT NUMBER | DATE (MO/YR) | NAME | U.S. CLASS/ SUBCLASS | FILING DATE (If appropriate) |
|----------------|---------|---------------|--------------|----------------|----------------------|------------------------------|
| | A85 | 6,280,441 | 8/01 | Ryan | | |
| | A86 | 6,368,340 | 4/02 | Malecki et al. | | |
| | A87 | 6,425,867 | 7/02 | Vaezy et al. | | |
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| † EX'R INITIAL | *REF. # | PATENT NUMBER | DATE (MO/YR) | COUNTRY | TRANSLATION (YES/NO) |
|----------------|---------|------------------|--------------|---------------|----------------------|
| | B1 | SU 1 072 859 a | 2/84 | SOVIET UNION | |
| | B2 | EP 0 472 368 | 2/92 | EPO | |
| | B3 | EP 0 598 579 | 5/94 | EPO | |
| | B4 | WO 95/02370 | 1/95 | PCT | |
| | B5 | WO 95/02371 | 1/95 | PCT | |
| | B6 | WO 96/05776 | 2/96 | WIPO | |
| | B7 | WO 96/10365 | 4/96 | WIPO | |
| | B8 | GB 2 302 025 | 1/97 | GREAT BRITAIN | |
| | B9 | GB 2 311 468 A | 1/97 | GREAT BRITAIN | |
| | B0 | DE 195 28 440 A | 2/97 | GERMANY | |
| | B11 | WO 97/27897 | 8/97 | WIPO | |
| | B12 | WO 97/47246 | 12/97 | WIPO | |
| | B13 | WO 98/19713 | 5/98 | PCT | |
| | B14 | WO 99/00057 | 1/99 | WIPO | |
| | B15 | EP 1 072 282 | 1/01 | EPO | |
| | B16 | DE 200 22 012 U1 | 5/01 | GERMANY | |
| | B17 | WO 02/39904 A1 | 5/02 | WIPO | |
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OTHER DOCUMENTS

| † EX'R INITIAL | *REF. # | Document Description (including Author, Title, Date, Pertinent Pages, Etc.) |
|----------------|---------|---|
| | C1 | Barth, Klemens H. et al., "Long Term Follow-Up of Transcatheter Embolization With Autologous Clot, Oxycel and Gelfoam in Domestic Swine", <i>Investigative Radiology</i> , May-June 1977, Vol. 12, pp. 273-290. |
| | C2 | Bateman, William M.D., "Treatment of intractable menorrhagia by bilateral uterine vessel Interruption", <i>Am. J. Obst. & Gynec.</i> 89(6):825-827 (July 15, 1964). |
| | C3 | Brigato, G. et al., "A Noninvasive Instrumental Method in Severe Postpartum Hemorrhages", <i>Minerva Ginecologica</i> 50(7-8):337-339 (1998). |
| | C4 | Brohim, Robert M. et al., "Development of Independent Vessel Security After Ligation With Absorbable Sutures or Clips", <i>The American Journal of Surgery</i> , Mar. 1993, Vol. 165, pp. 345-348. |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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PTO-1449 FORM**SHEET 4 OF 4**

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Burbank et al.

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OTHER DOCUMENTS CONT'D

| * EX'R INITIAL | *REF. # | Document Description (including Author, Title, Date, Pertinent Pages, Etc.) |
|----------------|---------|--|
| | C5 | Burbank, Fred et al., "Uterine Artery Occlusion by Embolization or Surgery for the Treatment of Fibroids: A Unifying Hypothesis-Transient Uterine Ischemia", <i>The Journal of the American Association of Gynecologic Laparoscopists</i> , November 2000, Vol. 7, No. 7 Supplemental, pp. S3-S49. |
| | C6 | Fuchs, Karl, "Afibrinogenemia Treated by Ligation of Uterine Arteries", <i>Gynecologic</i> 148:407-411 (1959). |
| | C7 | Garza Leal, J. et al., "Myoma Treatment by Transient Uterine Ischemia", <i>The Journal of the American Association of Gynecologic Laparoscopists</i> 7(3):S31 (August 2000). |
| | C8 | Hay, D.L. et al., "Hemostasis in Blood Vessels After Ligation", <i>Am. J. Obstet. Gynecol.</i> , March 1989, 160:3, pp. 737-739. |
| | C9 | Hunerbein, M. et al., "Endoscopic Ultrasound-Guided Real Time Biopsy of Peri-Intestinal Tumors", <i>Surgical Technology International VII</i> , 1998, pp. 91-95. |
| | C10 | O'Leary, James A., M.D., "Uterine Artery Ligation in the Control of Postcesarean Hemorrhage", <i>The Journal of Reproductive Medicine, Inc.</i> , 40(3):189-193 (March 1995). |
| | C11 | O'Leary, James L., M.D. et al., "Uterine artery ligation in the control of intractable postpartum hemorrhage", <i>Am. J. Obst. & Gynec.</i> 94(7):920-924 (April 1, 1966). |
| | C12 | Ravina, J.H. et al., "Arterial Embolisation to Treat Uterine Myomata", <i>The Lancet</i> , Sept. 9, 1995, Vol. 346, No. 8976, pp. 671-672. |
| | C13 | Schaefer, C.J. et al., "Absorbable Ligating Clips", <i>Surg. Gynecol. Obstet.</i> , 1982, 154:513-516. |
| | C14 | "Mick 200-TP Applicator Package", Mick Radio-Nuclear Instruments, Inc., advertisement. |
| | C15 | "Multiplanar Biopsy Transverse Scan", Brueel & Kjaer Medical Systems, Inc., advertisement. |
| | C16 | "Seeding Device – Proscan Urologic Ultrasound Imaging System", Teknar, advertisement. |
| | C17 | "Sonopsy Ultrasound Guided Breast Biopsy", NeoVision, advertisement. |
| | C18 | "Transrectal Biopsy of the Prostate Gland", Brueel & Kjaer Medical Systems, Inc., advertisement. |

EXAMINER'S SIGNATURE

DATE CONSIDERED

† EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609.
 Line through citation if not in conformance and not considered.
Include copy of this form in next communication to applicant.

* If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).